



SPECIAL MARGIN REQUIREMENTS FOR LEVERAGED EXCHANGE TRADED FUNDS

On 12/1/2009 the margin maintenance requirement for the leveraged ETF Securities increased per FINRA Regulatory Notice 09-53.

Leveraged ETF margin maintenance requirements are as follows:

200% leverage, aka 2X ETF, will be held at 50% minimum maintenance from 25%.

Example: 100 shares of a 2X ETF with a Market Value of \$10,000 or \$100.00 per share, has a minimum maintenance requirement of \$5,000, or \$50.00 per share. This is an increase from the previous level of 25% or \$2,500 (\$25.00 per share).

300% leverage, aka 3X ETF, will be held at 90% minimum maintenance from 25%.

Example: 100 shares of a 3X ETF with a Market Value of \$10,000 or \$100.00 per share, has a minimum maintenance requirement of \$9,000 or \$90.00 per share. This is an increase from the previous level of 25% or \$2,500 (\$25.00 per share).

Please contact Customer Support at 239.244.8787 if you should have any questions on this or any other matter involving your account.